

STOCK EXCHANGE (REGISTER OF INTERESTS IN SECURITIES) RULES 1989

GN 155/89 - Section 56 - 16 September 1989

1. These Rules may be cited as the Stock Exchange (Register of Interests in Securities) Rules 1989.

2. In these Rules-

“Act” means the Securities Act 2005;

“relevant person” means-

- (a) an investment dealer;
- (b) a representative of an investment dealer;
- (c) an officer or employee of an investment dealer;
- (d) an officer or member of staff of a securities exchange.

3. (1) Every relevant person shall-

- (a) maintain a register in the form specified in the Schedule; and
- (b) enter therein any interest he has in any securities.

(2) Every entry shall be made-

- (a) with regard to any securities acquired or disposed of after the 21 September 1989 within 3 days of such acquisition or disposal
- (b) with regard to any securities acquired or disposed of before 21 September 1989, within 15 days of the publication of these regulations.

4. (1) The register specified in rule 3 shall be kept at the Commission in such manner as the Commission may think fit.

(1) The Commission shall provide reasonable facilities to enable the relevant person to make the necessary entries as specified in rule 3.

